

## GUI design exploration software for microwave antennas

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## GUI Design Exploration Software for Microwave Antennas

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### Abstract

Optimizers in commercial electromagnetic (EM) simulation software packages are the main tools for performing antenna design exploration today. However, these general purpose optimizers are facing challenges in optimization efficiency, supported optimization types and usability for antenna experts without deep knowledge on optimization. Aiming to fill the gaps, a new antenna design exploration tool, called Antenna Design Explorer (ADE), is presented in this paper. The key features are: (1) State-of-the-art antenna design exploration methods are selected and embedded, addressing efficient antenna optimization (critical but unable to be solved by existing tools) and multiobjective antenna optimization (not available in most existing tools); (2) Human-computer interaction for the targeted problem is studied, addressing various usability issues for antenna design engineers, such as automatic algorithmic parameter setting and interactive stopping criteria; (3) Compatibility with existing tools is studied and ADE is able to co-work with existing EM simulators and optimizers, combining advantages. A case study verifies the advantages of ADE.

**Keywords:** Antenna design optimization, Antenna synthesis, Surrogate model assisted evolutionary algorithm, Multiobjective optimization, Software tool

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## 1. Introduction

An antenna is an essential device in satellites, automobiles and many electrical machines. In recent years, design exploration or design optimization has replaced the traditional trial and error method and has become a standard step  
 5 in the microwave antenna design process. Antenna design exploration aims to obtain optimal geometrical design parameters optimizing (a) design objective(s) and/or satisfying design specifications based on a given antenna structure. Over the last decade, a number of research works have been carried out on this topic, providing useful results. The proposed methods mainly include employing tra-  
 10 ditional derivative-based and derivative free methods [1], as well as employing evolutionary algorithms [2].

Due to the importance of design exploration in electromagnetic (EM) device design flow, these research products are transferred to commercial software tools rapidly. CST Microwave Studio [3], Ansoft HFSS [4], ADS-Momentum  
 15 [5], Altair-FEKO [6], Sonnet Suites [7], etc are major tools for antenna design engineers to perform design exploration. MathWorks has also provided an antenna toolbox since 2015 using MATLAB optimizers to perform antenna design exploration. Although from different computer-aided design (CAD) software vendors, most of their optimizers follow the same idea: embedding various  
 20 kinds of general purpose optimization methods and connecting them with their EM simulators.

In terms of optimization methods employed, CST Microwave Studio, Ansoft HFSS, ADS-Momentum, Altair-FEKO and the MATLAB antenna toolbox include both local optimization methods and global optimization methods. For  
 25 local optimization, common methods are the Quasi-Newton method, the Sequential Quadratic Programming method, the Trust-region method and the Pattern Search method. For global optimization, the common methods are Genetic Algorithms (GAs) and the Particle Swarm Optimization (PSO) method. In addition, CST Microwave Studio introduces the Covariance Matrix Adapta-

tion Evolution Strategy, which is a state-of-the-art global optimization method. In terms of optimization type, Sonnet supports constraint satisfaction, i.e., aiming to satisfy several design specifications using weighted sum. CST Microwave Studio, Ansoft HFSS, Altair-FEKO and ADS-Momentum support constraint satisfaction, goal optimization and constrained optimization.

Although the available antenna design exploration tools make significant contributions for antenna design engineers, the following two challenges remain: Arguably, the most critical challenge is the optimization efficiency. The success of local optimization methods relies on a good initial design. However, unlike some other EM devices (e.g., filters), there is no routine method to get a good initial design for an antenna. Hence, many engineers incline to use global optimization methods. Although a good initial design is not needed and the optimization ability is much higher, global optimization methods often need a large number of EM simulations to get the optimum. Considering that each full wave EM simulation is often computationally expensive, the whole optimization process may cost weeks to months. To the best of our knowledge, no available tool is able to address this challenge till now.

Secondly, a common problem is that many antenna design engineers do not have a deep knowledge of optimization, but this is considered less in available tools, decreasing the usability. For example, there are geometric constraints to many antenna structures, which are naturally handled without performing computationally expensive EM simulations from the view of experts on optimization; but many available tools often do not support this pre-processing. For another example, algorithmic parameters, such as the penalty coefficients for constraint satisfaction/optimization, affect the result significantly, but setting them is left to antenna engineers themselves in the available tools.

To address the above challenges, a new tool, called Antenna Design Explorer (ADE), is presented in this paper. ADE does not aim to repeat functions of existing commercial tools; therefore, optimization methods in available tools are not employed. ADE also does not aim to develop software tools for a specific kind of antenna as some pioneer academic research work e.g., [8]. Instead, ADE

intends to become a tool considering the handling of key challenges on functionality, generality and usability for common antenna engineers. In particular, ADE aims to provide the following features:

- Support efficient design exploration: A state-of-the-art efficient antenna design exploration method, Surrogate Model-Assisted Differential Evolution for Antenna Synthesis (SADEA) [9], is embedded to address efficient single objective antenna design exploration;
- Support multiobjective design exploration: A state-of-the-art multiobjective optimization method, Multiobjective Evolutionary Algorithm Based on Decomposition-Differential Evolution Operators (MOEA/D-DE) [10], is included to support multiobjective antenna design optimization, which is attracting considerable attention in recent years;
- Support antenna design engineers without sufficient expertise in optimization: The usability concerns for the targeted users are studied and included in the tool, including automatic algorithmic parameter setting, efficient handling of geometrical constraints, interactive stopping criteria and graphics user interface (GUI) connecting CST Microwave Studio, etc.
- Support co-work with existing EM simulators and optimizers: Compatibility with existing tools is considered and designed in ADE, so as to combine their advantages. A new design exploration routine is proposed based on the co-working of ADE and existing optimizers.

In addition, comprehensive supporting materials are provided for ADE 1.0, including a user's guide, templates for constructing objective functions and constraints, examples and tutorial videos. The materials can be downloaded from <http://ade.cadescenter.com>.

The remainder of this paper is organized as follows. Section 2 provides the problem formulation. Section 3 introduces ADE, including the work flow, optimization methods selection, usability study, supporting materials and software

design. A case study is provided in Section 4. Section 5 provides conclusions  
 90 and future work.

## 2. Problem Formulation

ADE supports four types of optimization: constraint satisfaction, goal optimization, single objective constrained optimization and multiobjective optimization, which are listed as follows.

### constraint satisfaction

$$\begin{aligned} & \text{minimize} && \sum_{i=1}^k w_i \times \max(g_i(x), 0) \\ & \text{s.t.} && x \in [a, b]^d. \end{aligned} \quad (1)$$

95 where  $x$  is the vector of design variables;  $d$  is the dimension of  $x$ ;  $[a, b]^d$  are the search ranges of the design variable  $x$ ;  $g_i(x) \leq 0$  is the  $i^{\text{th}}$  specification ( $i = 1, 2, \dots, k$ ) and  $w_i$  is the weight of the  $i^{\text{th}}$  specification.

### goal optimization

$$\begin{aligned} & \text{minimize} && f(x) \\ & \text{s.t.} && x \in [a, b]^d. \end{aligned} \quad (2)$$

where  $f(x)$  is the optimization goal (objective function).

### single-objective constrained optimization

$$\begin{aligned} & \text{minimize} && f(x) \\ & \text{s.t.} && g_i(x) \leq 0, i = 1, 2, \dots, k. \\ & && x \in [a, b]^d. \end{aligned} \quad (3)$$

100 Single-objective constrained optimization is very popular in real-world antenna design exploration. Often, a candidate design with a minimum  $f(x)$  value among those satisfying the  $g_i(x) \leq 0$  constraints is the optimal solution. Sometimes, candidate designs which slightly violate the  $g_i(x) \leq 0$  constraints but with a much better  $f(x)$  values are preferred by the designer.

### Multi-objective optimization

$$\begin{aligned} & \text{minimize} && \{f_1(x), f_2(x), \dots, f_m(x)\} \\ & \text{s.t.} && x \in [a, b]^d. \end{aligned} \quad (4)$$

Multiobjective optimization produces a number of well representative optimal trade-off candidate solutions for the antenna engineer to select. Let  $x$  and  $x'$  be two solutions to (4) where  $m = 2$ .  $x$  is said to dominate  $x'$  if and only if  $f_1(x) \leq f_1(x')$ ,  $f_2(x) \leq f_2(x')$ , and at least one of these two inequalities is strict. A solution  $x^*$  is Pareto-optimal if there is no other solution that dominates it. The set of all the Pareto-optimal solutions is called the Pareto set and the image of Pareto set in the objective space (i.e.,  $f_1 - f_2$  space) is the Pareto front.

As was described in Section 1, Sonnet Suites addresses constraint satisfaction (eqn. (1)), which is essential in antenna design exploration. However, in many cases, the setting of specifications is not obvious. For example,  $\max|S_{11}| \leq -20dB$  is a widely used design specification, but it may not be achievable for some antenna structures, while for others, better  $\max|S_{11}|$  can be achieved. Thus,  $\max|S_{11}|$  is more appropriate to be set as a design objective. Hence, CST Microwave Studio, Ansoft HFSS, ADS-Momentum and MATLAB antenna toolbox support goal optimization (eqn. (2)) and single objective constrained optimization (eqn. (3)). Few available tools support multiobjective optimization (eqn. (4)), which provides a set of approximated Pareto optimal designs. When the computational cost is affordable (e.g., high-performance computing, low-fidelity EM simulation, analytical formula), multiobjective optimization is very useful in understanding the antenna, which receives considerable attention from researchers, e.g., [11, 12].

### 3. The ADE Software

#### 3.1. Architecture of ADE

ADE is a GUI software tool programmed in MATLAB. The reason for choosing MATLAB language is that MATLAB is a common working environment for both antenna design engineers and optimization researchers. In particular, (1) MATLAB code for many modern optimization algorithms is available, which improves the efficiency of development and update of ADE software tools; (2)



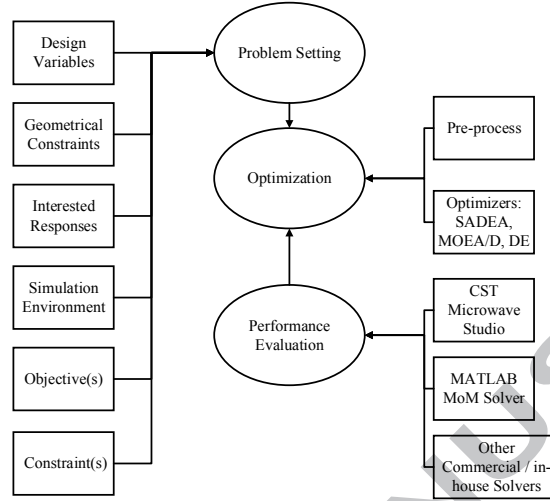


Figure 1: The architecture of ADE

The MATLAB antenna toolbox and optimization toolbox can be seamlessly linked with ADE; (3) Almost all antenna engineers are familiar with MATLAB because MATLAB and Simulink are their essential tools for communication system modelling.

The architecture of ADE is shown in Fig. 1. The three main modules are the performance evaluation module, the problem setting module and the optimization module. The performance evaluation module will be introduced in Section 3.2, the optimization algorithm selection will be introduced in Section 3.3 and the problem setting module and the pre-processing functions in the optimization module will be introduced in the workflow (Section 3.4).

### 3.2. Performance Evaluation Module

In antenna design exploration, the performance of each candidate design generated in optimization needs to be obtained by an analyzer, often, based on numerical simulations. ADE does not provide its own numerical analyzer, but instead invokes existing EM simulation software tools. This does not restrict the applicability of ADE but rather broadens it. The reason is that almost all

antenna engineers has at least one commercial EM simulation software tool and  
 150 their reliability is trusted. Among various commercial EM simulation software  
 tools, arguably, CST Microwave Studio and Ansoft HFSS are the most widely  
 used for antenna simulation.

In the current (1.0) version of ADE, two kinds of links to external simulators  
 are provided. The first one is a seamless link with CST Microwave Studio. Using  
 155 this link, the user only needs to provide the prepared CST simulation model as  
 they do in manual antenna design and several straightforward settings (e.g., the  
 installation path, the solver type used) through the GUI. The second one is a  
 MATLAB terminal. The user's input will be saved as an m-file. By using this  
 terminal, invoking a simulation model based on the MATLAB antenna toolbox  
 160 or analytical formula is straightforward. Other EM simulation tool users or in-  
 house numerical analysis code users can use this terminal to get access to the  
 optimizers of ADE.

### 3.3. Selection of Optimization Methods

The optimizer is the key in ADE 1.0. Recall that ADE is designed for  
 165 antenna design engineers without a deep knowledge of optimization. We, there-  
 fore, refrain from providing a number of optimization algorithms to avoid users  
 being confused as to which one to choose. Three optimization methods are  
 selected for different kinds of problems encountered in antenna design explo-  
 ration. Ranked by importance, they are Surrogate Model Assisted Differential  
 170 Evolution for Antenna Synthesis (SADEA) [9], Multiobjective Evolutionary Al-  
 gorithm by Decomposition / Differential Evolution Operators (MOEA/D-DE)  
 [10] and Differential Evolution (DE) [13].

As was introduced in Section 1, local optimization methods require a good  
 starting point, which is often not available for practical antenna design (Even in  
 175 some cases when local optimization methods can be used, they can be accessed  
 from existing commercial tools.) Global optimization methods are shown to  
 be very effective, but they often cost too much time (e.g., months) for EM  
 simulation-embedded antenna optimization. SADEA is used to address this

problem. Experiments on real-world antennas show that the SADEA method  
 180 obtains a 4-10 times speed improvement compared to standard DE and PSO  
 methods, while getting comparable results. This indicates that by using ADE,  
 the design quality is not sacrificed while decreasing 1 or 2 month optimization  
 time to 1 week. SADEA supports constrained satisfaction (eqn. (1)), goal  
 optimization (eqn. (2)) and single-objective constrained optimization (eqn. (3)).

185 Multiobjective antenna optimization has been attracting much attention in  
 recent years (especially when using a computationally relatively cheap low-  
 fidelity simulation model) but existing tools seldom support it. To the best  
 of our knowledge, one way to perform multiobjective antenna optimization is  
 co-use of the MATLAB optimization toolbox and MATLAB antenna toolbox.  
 190 A variant of NSGA-II [14] is embedded in the MATLAB optimization toolbox,  
 which is more than 15 years old. MOEA/D [15] is a more recent state-of-the-art  
 method. MOEA/D and its variant MOEA/D-DE (a popular variant) have more  
 than 2000 citations to date. Pioneer researchers have employed an MOEA/D  
 variant to multiobjective antenna design exploration and showed success [11].  
 195 Because of this, MOEA/D-DE is embedded in ADE to support multiobjective  
 antenna optimization (eqn. (4)).

DE is a standard evolutionary algorithm for global optimization and is widely  
 used in antenna design exploration research [16, 17]. However, it is seldom  
 included in available tools. To complement the existing tools, it is included  
 200 in ADE. It has to be recognized that for some particular antennas, analytical  
 formulas, equivalent circuits or superposition models can be obtained, which is  
 computationally very cheap (e.g, a few seconds or less). In such cases, using  
 DE may not be inefficient compared to SADEA, since no time is expended on  
 surrogate modelling. Including a DE optimizer is useful for research involving  
 205 the above low-cost evaluation models, so as to complement GAs and PSO in  
 existing tools.

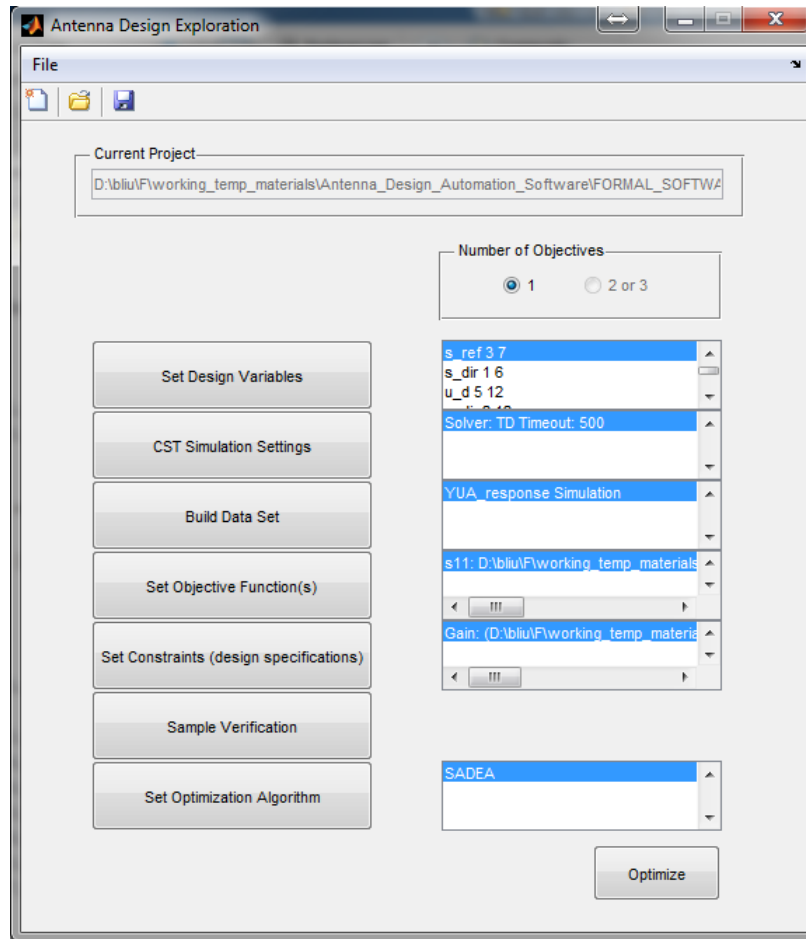


Figure 2: The starting window of ADE

### 3.4. Workflow of ADE

The workflow of ADE is as follows, which is also shown in the starting GUI window (Fig. 2).

#### 1. Set design variables:

This step sets the design variables that will be optimized and their ranges.

#### 2. Set geometrical constraints (if any):

Geometrical constraints exist in many antennas, describing the restrictions  
between the design variables. For example,  $L_1$  should be less than a half  
215 of  $L_2$ , where  $L_1$  and  $L_2$  are design variables. Handling them does not need  
computationally expensive EM simulations. ADE provides two kinds of  
methods: smart design parameters and explicit geometrical constraints  
setting.

### 3. CST simulation settings:

220 When the designer uses CST Microwave Studio as the performance evalua-  
tion method, this step collects the CST installation path, solver type and  
simulation time estimation for using the seamless link with CST.

### 4. Build data set:

This step defines the responses that the user wants to be included in the op-  
225 timization problem, which will be involved in the objective function(s) or  
the constraint(s) afterwards. For CST Microwave Studio users, a simula-  
tion is carried out and all the responses are then displayed for the user to  
select. For non-CST users, a MATLAB function terminal is opened for  
inputting code or invoking other EM tools.

### 230 5. Set objective(s):

The objective function(s) is/are set based on the responses given in Step 4.  
An m-file template is automatically generated with responses as the in-  
puts. The user can then define the objective function(s) using the given  
responses.

### 235 6. Set constraints(s):

The constraint(s) is/are set based on the responses given in Step 4. An m-file  
template is also automatically generated as in Step 5.

### 7. Sample verification:

This step has two functions. The user can validate the objective function value(s) and constraint function value(s) for a single design, making sure that the optimization problem is correctly set. Bearing in mind that the optimization is often not cheap, it is worth performing this verification. The user can also generate a certain number of samples to observe the design landscape.

## 8. Select optimization algorithms and set parameters:

The user can select one of the three embedded optimizers (Section 3.3) or use a custom algorithm to perform antenna design exploration. When using the embedded optimizers, most algorithm parameters can be automatically calculated based on problem settings and the initial samples/responses if the user chooses “auto”. If selecting the “custom algorithm” option, the objective function and constraints with embedded simulations are displayed as normal MATLAB functions, which can be used by any user-defined algorithm.

## 9. Design exploration:

This step performs optimization based on the user’s selections and provides the optimized antenna design parameters.

### 3.5. Usability Study

The alpha-version of ADE is tested by antenna design engineers without a deep knowledge of optimization, who are the targeted users. Together with antenna engineers, the usability is studied and improved in various aspects. In the following, a few major ones are described.

Antenna engineers are used to evaluating candidate designs by observing the response curves. When asking antenna engineers to write objective and constraint functions considering various inputs (e.g., various performances, interested frequency range, whole frequency range, output data format, etc.), the usability becomes low. A solution is to make a separate GUI for each possible antenna response (e.g.,  $S_{11}$ , gain), in which, every related choice is covered

(e.g., output in dB/magnitude/complex). However, the software may become complex and less flexible to handle various kinds of problems.

270 To address this problem, the following usability improvement method is proposed: (1) The objective and constraint functions settings are broken down to simulation settings, response settings using the set simulation environment and objective and constraint functions setting using the set responses. (2) A GUI is designed to guide the user in selecting signal files from the simulation  
275 results (Fig. 3) and the data is then displayed to the user (by saving them to mat files, which can be viewed from the MATLAB Workspace) for easy handling when setting objective and constraint functions. A video is designed to show the details, and the interpretation of the signal files is provided in the user's guide. Experiments show that this largely relieves the difficulty of the users and  
280 is general to all responses, avoiding an ad-hoc GUI for each antenna response. (3) m-files with a template and instructions are used in all the function settings, providing both hints (e.g., interpolation) and flexibility for the user (e.g., setting fabrication tolerance). Often, the user only needs to slightly revise the template to fit in his/her own problems.

285 As was described in Section 1, many antennas have geometrical constraints. Unlike response constraints, for which simulation must be used, they can be handled before simulation. In ADE, for a simple geometrical constraint, smart design parameter setting can be used, making the geometrical constraint naturally satisfied. An example is shown in Section 4. This is also available in some  
290 existing tools. For complex geometrical constraints, using the Geometrical Constraints Window, ADE revises the geometrically infeasible candidate designs to the nearest feasible ones before EM simulations. A number of EM simulations can, therefore, be saved compared to using general purpose optimizers in existing tools, which treat them the same as response constraints.

295 Setting algorithmic parameters (e.g., population size or weights of the constraints) by antenna engineers themselves is a serious usability issue for many existing EM optimizers. Some of these parameters do largely influence the algorithm performance, but many antenna engineers find it difficult to make the

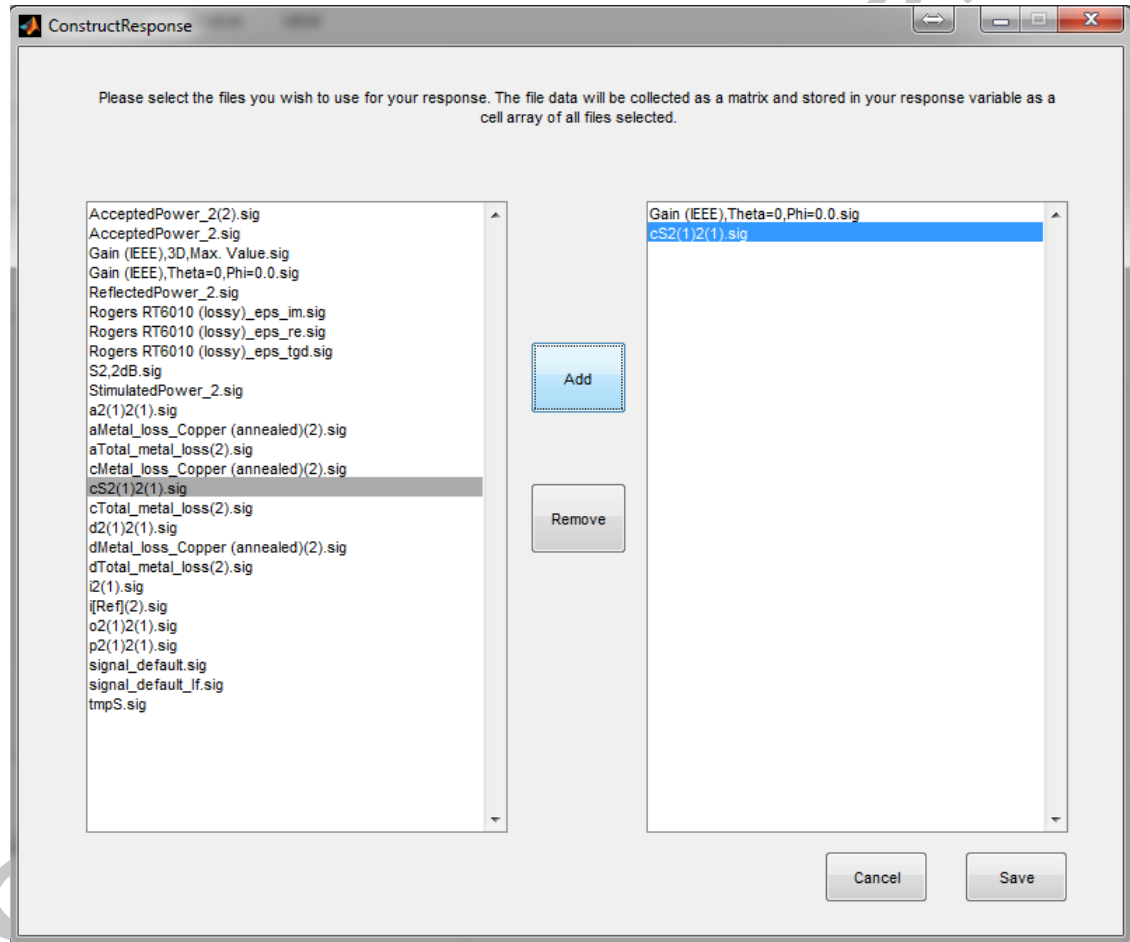


Figure 3: GUI for selecting responses



(most) appropriate setting and the default setting is, in many cases, not optimal. In ADE, with the exception of one parameter (the clear rule to set it is provided in the user's guide) and the number of evaluations (discussed later), all other algorithmic parameters can be adaptively calculated by a set of rules and are automatically set. The rules are obtained by analyzing optimization results of various kinds of antennas (e.g., dielectric resonator antenna, microstrip antenna, Yagi-Uda antenna, ultra wideband antenna, on-chip antenna, antenna arrays, etc).

Stopping criteria (i.e., the number of evaluations) is an important usability issue because the design exploration is often computationally expensive. The user would like to stop the optimizer at the correct time in order to avoid either a suboptimal result or long but redundant optimization time. However, the necessary number of evaluations is problem dependent and most existing tools only show the current best design to the user. In ADE, not only can the user view the trend of objective function values or the Pareto front improvements in the optimization process at any time, but also the standard deviation of the current population is shown to the user, which is a useful reference to predict the extent of further improvement (the use of it is included in the user's guide), so as to decide the number of further evaluations. As with existing tools, the optimization can stop at any time with results saved, and any further evaluations can be amended.

In addition, some widgets, (e.g., the mouse hover, the graying out of inapplicable entries) are used in the GUI to further improve the usability, which will not be described in detail here.

### 3.6. Supporting Materials

Comprehensive supporting materials are provided for ADE, including tutorial videos, a user's guide and m-file templates (available at <http://ade.cadescenter.com>). The purpose of the "step-by-step" tutorial videos is to elaborate the details of using ADE, which is more effective than document-based tutorials. Several real-world antenna examples with different demands on the design exploration tool

are selected, covering single/multiple objective optimization, handling response  
 330 constraints, smart design parameters, explicit geometrical constraints, using  
 CST simulation, using analytical function evaluation, using the three embedded  
 optimizers and co-work with other tools.

The user's guide concentrates on providing comprehensive support for non-  
 straightforward issues when using ADE besides introducing the software. For  
 335 example, interpretation of CST response signals for easy checking, interpolation  
 for signals and observing and interpretation of optimization results. In addition,  
 the basic concepts of optimization are introduced in the user's guide with  
 antenna examples for beginners. Common mistakes are also summarized.

The purpose of the template m-files is to allow the user to easily set their  
 340 problems while maintaining flexibility. Templates for objective and constraint  
 functions (with different output formats) and interpolations are provided. The  
 user can slightly revise the templates to fit with their own problems or can write  
 new files with the provided hints if necessary.

### 3.7. Compatibility with Other Tools

345 As was mentioned in Section 1, an important aim of ADE is to co-work with  
 existing tools to merge the advantages, which mainly includes co-work with  
 available simulators and optimizers. The former topic has been discussed in  
 Section 3.2. The latter is opened by the "Custom Algorithm" terminal (Step  
 8 of the workflow). By using this terminal, the objective function(s) and con-  
 350 straint(s) built (including connections with CST Microwave Studio or other  
 tools) are displayed as black boxes, which can be used by other optimization  
 algorithms or other kinds of algorithms (e.g., Design of Experiments). This  
 allows ADE to be extended by optimization experts or antenna engineers with  
 available optimization code.

355 In particular, an efficient multi-fidelity antenna design exploration method is  
 proposed by using ADE and available optimization tools. For some (e.g., satel-  
 lite) antennas, high-fidelity EM simulation is computationally very expensive.  
 A possible way to address this problem is multi-fidelity design exploration [18].

A low-fidelity model is firstly used with the SADEA optimizer. Consequently,  
 360 combining the fast optimization of SADEA and the reasonable cost simulation  
 of a low-fidelity EM model, an optimal result with low-fidelity simulation can  
 be obtained efficiently. Secondly, the optimal design obtained is used as the ini-  
 tial design for local optimization using high-fidelity EM simulations. Although  
 ADE does not include a local optimizer, local optimizers from available tools  
 365 can be employed. For example, CST Microwave studio can be used straight-  
 forwardly. In addition, the powerful MATLAB optimization toolbox can be  
 employed from the Custom Algorithm terminal. A case study implementing  
 multi-fidelity design exploration is described in the next Section.

#### 4. Case Study

370 In this section, use of ADE is illustrated by a case study: design exploration  
 of a dielectric resonator (DR) antenna. The SADEA optimizer is used for the  
 low-fidelity EM model-based global design exploration and the Nelder-Mead  
 (NM) Simplex method [19] from the MATLAB optimization toolbox is used to  
 perform local design exploration.

375 The antenna structure is shown in Fig. 4 [20]. The rectangular DR is  
 excited at the  $TE_{\delta 11}$  mode with a  $50\Omega$  microstrip through a slot made in the  
 metal ground plane. The substrate is 0.5mm thick RO4003C of infinite lateral  
 extends. Metallization of the ground and the microstrip trace (the width  $w_0$   
 of 1.15mm) is with 0.05mm thick copper. DR relative permittivity and loss  
 380 tangent are 10 and 0.0001, respectively.

The design task is to adjust dimensions of the DR brick ( $ax$ ,  $ay$  and  $az$ ),  
 the slot dimensions ( $us$  and  $ws$ ), the length of the microstrip slab ( $ys$ ) and  
 location of the DR relative the slot ( $ac$ ), so that the bandwidth of the DR  
 antenna is to be centred at 5.5GHz and the value of the fractional impedance  
 bandwidth at -10dB level is to be at least 8%. Also the back radiation (down  
 the substrate) should be kept as low as possible. Design constraints imposed  
 on the DR antenna radiation are the following: (1) the realized gain is to be

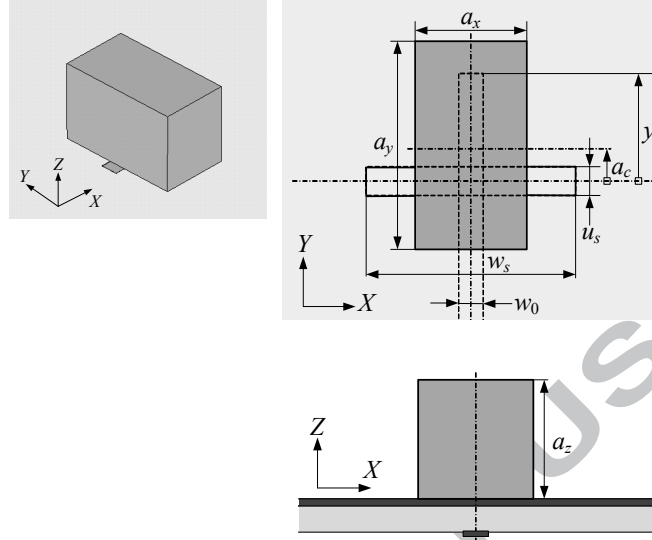


Figure 4: Single brick DR antenna: (a) 3D view, layout top (b) and front (c) views

Table 1: Ranges of the design variables (all sizes in mm) for antenna design exploration

<i>Variables</i>	$ax$	$ay$	$az$	$ac$	$us$	$ws$	$ys$
Lower bound	6	12	6	6	0.5	4	2
Upper bound	10	16	10	8	4	12	12

not less than 3dB for the zero zenith angle, and (2) the realized gain of back radiation is to be less than -10dB. Both gain constraints are to be imposed over the impedance bandwidth achieved. The design variables are  $ax$ ,  $ay$ ,  $az$ ,  $ac$ ,  $us$ ,  $ws$  and  $ys$ . Their ranges are in Table 1. The objective function is as follows with the frequency range of 5.28GHz to 5.72GHz:

$$\text{minimize } \max |S_{11}| \quad (5)$$

To illustrate both the fundamental use of ADE and multi-fidelity design exploration by ADE, two fidelities are used. Both EM modules are constructed with CST Microwave Studio. The number of mesh cells used for the low-fidelity

model is around 22,000 and, for the high-fidelity model, around 615,000. The  
 385 simulation time of the low-fidelity model and the high-fidelity model are about  
 35s and 6 minutes, respectively, using a PC with an Intel Xeon 1.9GHz CPU  
 and 24GB RAM.

The setting and optimization process of this case study are shown by a  
 tutorial video (<http://www.youtube.com/watch?v=uCew4pgaVIE>). Note that  
 390 there is a geometrical constraint:  $ac$  should be less than  $0.5 \times ay$ . In ADE,  
 the Smart Parameter function can be used to handle this simple constraint. In  
 this example, a variable  $r$  with a range of  $[0, 0.5]$  is introduced and  $r \times ay$  is  
 used to replace  $ac$ . Hence, this constraint is naturally satisfied. More complex  
 geometrical constraints have to be included using the Geometrical Constraint  
 395 function, whose usage is shown by a broadband microstrip antenna video and  
 the user's guide.

The tutorial video shows that the trend of objective function value in the op-  
 timization process and the standard deviation of the current population. When  
 observing it at 400 simulations, it can be seen that based on the standard devi-  
 400 ation, there is much potential for the objective function to be further improved  
 (the details of analyzing the standard deviation is introduced in the user's guide).  
 Hence, another 200 simulations are added. This process repeats for 1000 simu-  
 lations, at which point the potential improvement is relatively small.

The low-fidelity model-based design exploration after 1000 simulations ob-  
 405 tains a result of  $\min(\max|S_{11}|) = -23.6dB$ , in around 10 hours. It should be  
 noticed that, when using the DE optimizer in ADE, and GA or PSO optimizers  
 in existing tools, similar results can also be obtained, but the time consumption  
 is much longer. For example, around 150 hours are cost with the CST Mi-  
 crowave Studio PSO optimizer. Therefore, using the SADEA optimizer for EM  
 410 simulation-based global design exploration is highly recommended in this multi-  
 fidelity design exploration flow. The  $\min(\max|S_{11}|)$  value of the optimal design  
 obtained but with a high-fidelity EM simulation model, is -13.2dB. Then, the  
 obtained optimal design is used as the starting point of the NM simplex method.  
 Through the Custom Algorithm terminal, the `fminsearch` function is employed

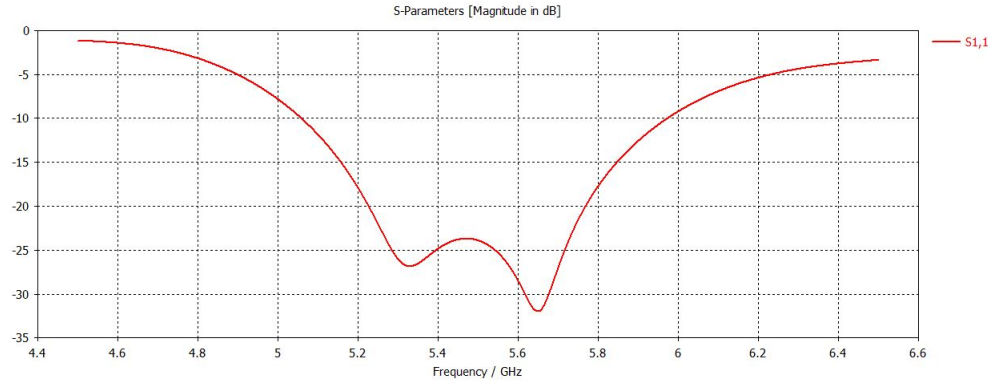


Figure 5: Response of the solution obtained by ADE 1.0

415 from the MATLAB optimization toolbox.

After 51 high-fidelity EM simulations (based on the EM model of CST Microwave Studio), the optimal result is  $S_{11} = -24.2dB$  based on the high-fidelity model, taking around 5 hours. The final response is shown in Fig. 5. By using ADE, the total design exploration time is 15 hours.

## 420 5. Conclusions

In this paper, the Antenna Design Explorer (ADE) software tool has been presented. With ADE, antenna design engineers, without sufficient expertise of optimization, can perform efficient antenna design exploration and multiobjective antenna design exploration straightforwardly. The main advantages include  
 425 important optimizers that are not available in existing tools as well as an intensive usability study fully considering the user's background. In addition, the compatibility of ADE makes it able to co-work with existing EM simulators and optimizers, combining advantages. Carefully designed supporting materials are available at <http://ade.cadescenter.com>, including user's guide, templates for  
 430 constructing objective functions and constraints, examples and tutorial videos. For the next (2.0) version, future works include: (1) building seamless links to various widely used EM simulation tools, (2) including data mining-assisted multi-fidelity antenna design optimization techniques [21] and (3) comparing

and embedding other state-of-the-art multiobjective antenna design exploration  
 435 method(s).

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## Highlights

- A new antenna design exploration tool, called Antenna Design Explorer (ADE), is presented in this paper.
- State-of-the-art antenna design exploration methods are selected and embedded, addressing efficient antenna optimization (critical but difficult to be solved by existing tools) and multiobjective antenna optimization (not available in most existing tools).
- Human-computer interaction for the targeted problem is studied, addressing various usability issues for antenna design engineers.
- Compatibility with existing tools is studied and ADE is able to co-work with existing EM simulators and optimizers, combining advantages.

## Graphical abstract

